



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/820,324	03/29/2001	Christine Pepin	05026.00002

CONFIRMATION NO. 3124

22907  
BANNER & WITCOFF  
1001 G STREET N W  
SUITE 1100  
WASHINGTON, DC 20001

FORMALITIES LETTER



\*OC000000006054525\*

Date Mailed: 05/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/06/2001 BNGUYEN1 00000119 190733 09820324

FILED UNDER 37 CFR 1.53(b)

01 FC:201 355.00 CH  
02 FC:203 135.00 CH  
03 FC:205 65.00 CH  
04 FC:202 40.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$175.
  - \$135 for 15 total claims over 20.
  - \$40 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 595.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09820324-080201  
FO2080-42802860

#6



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of: )  
Christine PEPIN, *et al.* ) Group Art Unit: 21715  
Serial No.: 09/820,324 ) Examiner: TBA YICUN WU  
Filed: March 29, 2001 ) Attorney Docket No.: 05026.00002

For: METHOD AND APPARATUS FOR ENABLING BULK LOADING OF DATA

SUBMISSION OF FORMAL DRAWINGS

Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts mailed May 8, 2001, attached hereto for filing in the United States Patent and Trademark Office are forty sheets of formal drawings (FIGS. 1-38) in compliance with 37 C.F.R. § 1.84 for the above-identified patent application. A copy of the Notice is attached.

Please charge any fee in connection with the filing of these drawings to our Deposit Account No. 19-0733.

Respectfully submitted,

By: Christopher R. Glembocki  
Christopher R. Glembocki  
Registration No. 38,800

BANNER & WITCOFF, LTD. - Eleventh Floor  
1001 G. Street, N.W.  
Washington, D.C. 20001  
(202) 508-9100

Date: August 2, 2001

09820324-080201



AA #5 Sector/ \$

PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application Of:

Christine PEPIN, *et al.*

Serial No: 09/820,324

Filed: March 29, 2001

) BOX: MISSING PARTS  
)  
) Group Art No. 2171  
)  
) Examiner: TBA  
)  
) Docket No. 05026.00002

For: METHOD AND APPARATUS FOR ENABLING BULK LOADING OF DATA

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

Assistant Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application under 37 C.F.R. §1.53(b), dated May 8, 2001, Applicants submit herewith the statutory basic filing fee of \$355.00, the missing parts surcharge of \$65.00, the additional claim fees of \$175.00, \$55.00 for a one month extension of time fee, the Assignment Recordation Fee of \$40.00, a copy of the Notice to File Missing Parts of Nonprovisional Application, the executed Declaration/Power of Attorney, and Assignment with Cover Sheet. The period for responding to the Notice to File Missing Parts of Nonprovisional Application expired on July 8, 2001, and thus the one month extension of time fee has been added. The fees are calculated as follows:

Basic Filing Fee	\$355.00
Total Claims = 35	
In Excess of 20 = 15 x 9.00	\$135.00
Independent Claims = 4	
In Excess of 3 = 1 x 40.00	\$40.00
Surcharge for submitting the filing fee and Declaration subsequent to the filing date	\$65.00
Assignment Recordation Fee	\$40.00
The one month extension of time fee	\$55.00
<b>Total Fees</b>	<b>\$690.00</b>

Christine PEPIN, *et al.*  
U.S. Patent Application Serial No. 09/820,324

It is believed that all Patent and Trademark Office requirements have now been fully met and it is respectfully requested that the above-identified patent application be forwarded for examination.

Please charge the filing of this paper and any additional fee, which may be associated to our Deposit Account No. 19-0733.

Respectfully submitted,

By: CR Glembocki  
Christopher R. Glembocki  
Reg. No. 38,800

BANNER & WITCOFF, LTD.  
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1001 G Street, N.W.  
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Dated: \_\_\_\_\_

Aug 2, 2001